

| FORM PTO-1449<br>US DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE   |                 | Atty. Docket No.<br><b>87786AEK</b><br>Customer No. 01333 | Serial No.<br><b>10/813,833</b>     |       |          |                               |
|---|-----------------|---|-------------------------------------|-------|----------|-------------------------------|
| If AFTER the later date of the first Office Action<br>or 3 months from filing, use only with Rule 97(E)<br>Certificate or Fee |                 | Applicant:<br><b>Zbyslaw R. Owczarczyk, et al</b>         |                                     |       |          |                               |
| LIST OF ART CITED BY APPLICANT<br>(Use several sheets if necessary)   |                 | Filing Date<br><b>3/31/2004</b>                           | Group<br><b>To Be Assigned</b> 1774 |       |          |                               |
| U.S. PATENT DOCUMENTS   |                 |   |                                     |       |          |                               |
| Examiner<br>Initial*  | DOCUMENT NUMBER | DATE  | NAME                                | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
| <i>DJ</i>   | 5,683,823       | 11-04-1997  | Shi et al.                          |       |          |                               |
| <i>DJ</i>   | 2002/0168544    | 11-14-2002  | Fukuoka et al.                      |       |          |                               |
| <i>DJ</i>   | 2003/0198829    | 10-23-2003  | Hoag et al.                         |       |          |                               |
| <i>DJ</i>   | 2003/0201415    | 10-30-2003  | Hoag et al.                         |       |          |                               |

| FOREIGN PATENT DOCUMENTS |                           |            |         |       |          |                            |
|--------------------------|---------------------------|------------|---------|-------|----------|----------------------------|
| Examiner<br>Initial*     | DOCUMENT NUMBER           | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES      NO |
| <i>DJ</i>                | 9-289081 with abstract    | 11-04-1997 | JP      |       |          | X                          |
| <i>DJ</i>                | 11-97180 with abstract    | 04-09-1999 | JP      |       |          | X                          |
| <i>DJ</i>                | 2001-294851 with abstract | 10-23-2001 | JP      |       |          | X                          |
| <i>DJ</i>                | 2003-81924 with abstract  | 03-19-2003 | JP      |       |          | X                          |
| <i>DJ</i>                | 2003-238516 with abstract | 08-27-2003 | JP      |       |          | X                          |
| <i>DJ</i>                | 2003-272866 with abstract | 09-26-2003 | JP      |       |          | X                          |
| <i>DJ</i>                | 03/010127                 | 02-06-2003 | WO      |       |          | X                          |

|  |                 |  |  |                       |  |  |
|--|-----------------|--|--|-----------------------|--|--|
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)   |                 |  |  |                       |  |  |
| EXAMINER<br><i>Dawn Yannett</i>  | DATE CONSIDERED |  |  | <i>April 12, 2006</i> |  |  |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance<br>and not considered. Include copy of this form with next communication to applicant. |                 |  |  |                       |  |  |

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|---|------------------|---|------------------------------------|
| FORM ERC-1449<br><br>AUG 18 2005<br>PATENT TRADEMARK OFFICE   |                  | Atty. Docket No.<br><b>87786AEK</b><br>Customer No. 01333 | Serial No.<br><b>US 10/813,833</b> |
| If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee |                  |   |                                    |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT<br><i>(Use several sheets if necessary)</i>                               |                  | Filing Date<br><b>31 March 2004</b>                       | Group<br><b>1774</b>               |
| <b>U.S. PATENT DOCUMENTS</b>  |                  |   |                                    |
| Examiner<br>Initial*  | DOCUMENT NUMBER  | DATE  | NAME                               |
| <i>DY</i>   | <b>6,689,494</b> | <b>02-10-2004</b>   | <b>Karandikar</b>                  |
| CLASS   | SUBCLASS         | FILING DATE<br>IF APPROPRIATE                             |                                    |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initial* | DOCUMENT NUMBER              | DATE              | COUNTRY      | CLASS | SUBCLASS | TRANSLATION |
|----------------------|------------------------------|-------------------|--------------|-------|----------|-------------|
|                      |                              |                   |              |       |          | YES      NO |
| <i>DY</i>            | <b>2001240761 (abstract)</b> | <b>09-04-2001</b> | <b>Japan</b> |       |          | <b>X</b>    |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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|-----------------------------------|--|
| EXAMINER<br><i>Dawn Y. Ganett</i> | DATE CONSIDERED<br><i>April 12, 2004</i> |
|-----------------------------------|--|

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